

IN THE

UNITED STATES PATENT AND TRADEMARK OFFICE

LPW

Inventor(s): Kenneth E. NICHOLAS

Confirmation No.: 6916

Application No.: 10/814,524

Examiner: G. Barron Jr.

Filing Date: 03/31/2004

Group Art Unit: 2132

Title: BIOMETRIC CONFIGURATION MANAGEMENT SYSTEM AND METHOD

Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ( ) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97 (c) together with either a:  
(X) Statement under 37 CFR 1.97(e), or  
( ) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97 (d) together with a:  
( ) Statement under 37 CFR 1.97(e)(1) or (2), and  
( ) a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

(X) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

(X) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: Oct. 26, 2005

OR

( ) I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number \_\_\_\_\_ on \_\_\_\_\_ Number of pages: \_\_\_\_\_

Typed Name: Cindy C. Dioso

Signature: Cindy C. Dioso

Respectfully submitted,

Kenneth E. NICHOLAS

By James L. Baudino

James L. Baudino

Attorney/Agent for Applicant(s)

Reg. No. 43,486

Date: Oct. 26, 2005

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STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ( ) No item of information contained in the Information Disclosure Statement
- was cited in a communication from a foreign patent office in a counterpart foreign application, and
  - to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,  
K. NICHOLAS

By James L. Baudino  
James L. Baudino

Attorney/Agent for Applicant(s)  
Reg. No. 43,486

Date: Oct. 26, 2005

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FORM PTO-1449

ATTY. DOCKET NO.

200313756-1

APPLICATION NO.

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CONFIRMATION NO.

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LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

APPLICANT

Kenneth E. NICHOLAS

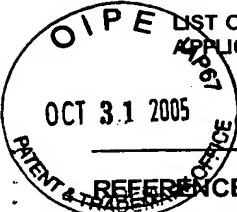
FILING DATE

03/31/2004

GROUP

2132

(Use several sheets if necessary)



## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | NAME | Pages, Columns, Lines Where<br>Relevant Passages or Figures Appear |
|---------------------|----|--------------------|---------------------|------|--|
|                     | 1A |                    |                     |      |  |
|                     | 1B |                    |                     |      |  |
|                     | 1C |                    |                     |      |  |
|                     | 1D |                    |                     |      |  |
|                     | 1E |                    |                     |      |  |
|                     | 1F |                    |                     |      |  |
|                     | 1G |                    |                     |      |  |
|                     | 1H |                    |                     |      |  |
|                     | 1I |                    |                     |      |  |
|                     | 1J |                    |                     |      |  |
|                     | 1K |                    |                     |      |  |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | NAME OF PATENTEE<br>OR APPLICANT | Pages/Columns/Lines Where<br>Relevant Passages/Figures Appear | Check if<br>Translation<br>attached |
|--|----|--------------------|---------------------|----------------------------------|---|-------------------------------------|
|  | 1L | WO 97/04375        | 02/06/1997          | Siemens AG                       |   | x                                   |
|  | 1M | DE 19837642        | 11/25/1999          | Siemens AG                       |   | x                                   |
|  | 1N |                    |                     |                                  |   |                                     |
|  | 1O |                    |                     |                                  |   |                                     |
|  | 1P |                    |                     |                                  |   |                                     |

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

|    |  |
|----|--|
| 1Q | Foreign Communication including International Search Report dated July 26, 2005. |
| 1R |  |
| 1S |  |

EXAMINER

DATE CONSIDERED